

## **SOUTHEND-ON-SEA BOROUGH COUNCIL**

### **Meeting of Audit Committee**

**Date: Wednesday, 28th April, 2021**

**Place: Virtual Meeting via MS Teams**

Present: Councillor P Collins (Chair)  
Councillors L Burton (Vice-Chair), N Folkard, S Habermel, M Kelly,  
I Shead, \*D Garne, \*A Moring and Mr K Pandya

\*Substitute in accordance with Council Procedure Rule 31.

In Attendance: J Chesterton, P Bates, A Barnes, R Gill, C Fozzard, S Dutton and R  
Harris  
Also in attendance: A Kleinman, and C Wisdom (Deloitte).

Start/End Time: 6.30 pm - 8.05 pm

#### **974 Apologies for Absence**

Apologies for absence were received from Councillors Ayling (no substitute),  
Cox (substitute: Cllr Garne) and Davidson (substitute: Cllr Moring).

#### **975 Declarations of Interest**

The following declaration of interest was made:

Cllr Shead – Minute 944 (Deloitte Grants Report 2019/20) – non-pecuniary  
interest: wife employed by South Essex College as an Invigilator.

#### **976 Minutes of the Meeting held on Wednesday 13th January 2021**

Resolved:-

That the Minutes of the Meeting held on Wednesday 13<sup>th</sup> January 2021, be  
confirmed as a correct record and signed.

#### **977 Deloitte - Grants Report 2019/20**

The Committee considered a report of the Executive Director (Finance and  
Resources) presenting the results of the reporting accountant's work on the  
Housing Benefit Subsidy Claim assurance report and the Teachers' Pensions  
End of Year Certificate for the year ended 31 March 2020.

The Committee asked a number of questions which were responded to by  
officers and representatives from Deloitte.

Resolved:

That the results of the grant claim and assurance work for the year ended 31  
March 2020, be endorsed.

**978 Deloitte's - Annual Audit Plan 2020/21**

The Committee considered a report of the Executive Director (Finance and Resources) presenting the External Auditor's Audit planning report for 2020/21.

The Committee asked a number of questions which were responded to by officers and representatives from Deloitte's.

Resolved:

That Deloitte's Audit planning report for 2020/21, be noted.

**979 CIPFA's Financial Management Code**

The Committee considered a report of the Executive Director (Finance and Resources) providing an update on the Council's self-assessment outcome against the expectations of CIPFA's new Financial Management Code.

On consideration of the report the Chair suggested that the Committee receives an update to the next meeting on progress of the action plan to deliver further improvements in the Council's compliance with the expectations of CIPFA's new Financial Management Code.

The Committee also asked a number of questions which were responded to by officers. The Chair suggested that a six monthly progress update against the Code was provided to the Committee.

Resolved:

1. That the Council's review against the expectations of CIPFA's Financial Management Code, be noted and takes assurance that the Council's arrangements have been self-assessed as compliant and the action plan to deliver further improvements, be endorsed.

2. That the Council's compliance status against CIPFA's Financial Management Code be kept under review and the requirements for an annual self-assessment to be undertaken and progress be reported to the Audit Committee in six months, be endorsed.

**980 Internal Audit Service Quarterly Performance Report**

The Committee considered a report of the Executive Director (Finance and Resources) setting out the progress made in delivering the Internal Audit Strategy for 2020/21.

The Committee asked a number of questions which were responded to by officers.

Resolved:

That the progress made in delivering the 2020/21 Internal Audit Strategy, be noted.

**981 Counter Fraud and Investigation Team Quarterly Performance Report**

The Committee considered a report of the Executive Director (Finance and Resources) setting out the progress made by the Counter Fraud & Investigation Team (CFIT) in delivering the Counter Fraud Strategy and Work Programme for 2020/21.

The Committee asked a number of questions which were responded to by officers.

Resolved:

That the performance of the Counter Fraud and Investigation Team since the last meeting, be noted.

**982 Internal Audit Planning for 2021/22 timetable**

The Committee considered a report of the Executive Director (Finance and Resources) providing an update on the revised approach to the production of the Internal Audit Plan for 2021/22.

The Chair recommended to the Officers that the Audit Plan for 2021/2022 timetable be presented to the Chair for approval as Chair's action, before the next planned Audit Committee meeting of Monday 6<sup>th</sup> September 2021.

Resolved:

That the revised timing for the approach to the production of the internal audit plan for 2021/22, be noted, along with the proposed Chair's action.

**983 Information Item - CIPFA Audit Committee Update 34 (March 2021)**

The committee received and noted the following information item:

- CIPFA Audit Committee Update – Helping Audit Committees to be Effective.

**Chair:** \_\_\_\_\_