

Appendix 2: Assurance Summary 2020/21

| Audit Plan Areas | Level of Assurance | | | | |
|---------------------------------|---|---|--|---------|---|
| | High | Satisfactory | Partial | Minimal | No Opinion |
| Managing the Business | | | <ul style="list-style-type: none"> IT Audit: Disaster Recovery and Continuity Planning Arrangements 19-38 | | <ul style="list-style-type: none"> IT Remote Working and Cyber Security arrangements 20-36 ICT Risk Assessment 20-24 |
| Managing Service Delivery Risks | <ul style="list-style-type: none"> Adults Social Care Recovery from Covid-19 20-46 (draft) | <ul style="list-style-type: none"> Deprivation of Liberties Safeguards 20-49 Health & Safety 20-38 (draft) Adult Community College Payroll 20-26 (draft) | <ul style="list-style-type: none"> Better Queensway Project Assurance 20-18 | | <ul style="list-style-type: none"> Covid-19 Local Outbreak Control Plan Governance Arrangements 20-45 Energy Projects Status Assurance 20-23 Better Queensway Highways Scheme 20-51 <p>Advice & Support Work:</p> <ul style="list-style-type: none"> Getting to Know your Business – Children’s Services Improvement Plan 20-05 |

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| | | | | | <ul style="list-style-type: none"> • Simple and Effective Governance (Audit Committee April 2021 -20-05) • Liquid Logic / ContrOCC (Audit Committee April 2021) • In House Foster Carers Improvement Plan (Audit Committee April 2021) • Disabled Facilities Grant Policy Work (Audit Committee April 2021) • Adult Community College Financial Regulations (Audit Committee October 2020) |

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| | | | | | <ul style="list-style-type: none"> • Adult Community College Finance Curriculum & Quality Group (ongoing) |
| Key Financial Systems (No work 20/21) | | | | | |
| Audits Revisited | | <ul style="list-style-type: none"> • Better Queensway Project Assurance 20-56 • Adult Community College Payroll (draft) | <ul style="list-style-type: none"> • Payroll 19-46 | <ul style="list-style-type: none"> • Direct Payment Support Service Contract Management (draft) | |

Appendix 1: Assurance Summary 2020/21

| Grant Claims | Level of Assurance | |
|--------------|--|--------------------|
| | Unqualified | With Qualification |
| | <ul style="list-style-type: none"> • Disabled Facilities Capital Grant Determination • Local Transport Capital Block Funding – Highways Maintenance • Pothole Action Fund • National Productivity Investment Fund • Troubled Families • Covid-19 Surge enforcement and compliance funding 2020 (No 31/5216) • Travel Demand Management Grant (awarded 29-7-20) • Additional Dedicated Home to School and College Transport Grant (awarded 11-8-20) • Emergency Active Travel Grant (awarded 29/06/2020) | |

