

## Appendix 1: Status of Internal Audit Plan 2020/21

Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
<b>Managing the Business</b>			
All	<p><b>Covid-19</b></p> <p>To assess the robustness of arrangements that ensure challenges and opportunities resulting from the Covid-19 emergency are effectively actioned, governed and the desired outcomes are realised.</p>	Yes	<p>As a result of Internal Audit's ongoing review of the Council's risk profile and in particular the impact of Covid-19 four new audits were identified. Please see Safe and Well for the following:</p> <ul style="list-style-type: none"> <li>• Covid-19 Local Outbreak Governance Arrangements</li> <li>• Adults Social Care Recovery from Covid-19</li> <li>• Covid-19 Volunteering</li> <li>• Financial Impact of Covid-19 – please see below.</li> </ul>
F&R (PB)	<p><b>Financial Impact of Covid-19:</b></p> <p>To assess the robustness of the arrangements and /or financial modelling approach applied to:</p> <ul style="list-style-type: none"> <li>• estimating the medium term financial impact of the pandemic to the Borough</li> <li>• ensuring capital programme priorities continue to meet the needs of the Borough.</li> </ul>	No	<p><b>Work requires rescoping to identify the key areas of risk focus required in relation to the robustness of arrangements to manage the Council's short and medium term financial challenges.</b></p> <p><b>See 2021/22 Audit Plan.</b></p>
All	<p><b>IT Audit: Remote Working and Cyber Security Arrangements (2019/20)</b></p> <p>To assess the robustness of arrangements that enable the majority of staff to work remotely, while maintaining critical cyber security functions.</p>	Yes	Completed September 2020.

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
All	<p><b>IT Audit: Disaster Recovery and Continuity Planning Arrangements (2019/20)</b></p> <p>To assess whether there are robust arrangements in place to ensure the design of the Council's IT Disaster Recovery (DR) planning documentation and processes are appropriate, complete and robust, and to explore whether there is sufficient assurance that the arrangements will operate in practice.</p>	Yes	Completed October 2020.
T (RP)	<p><b>Health and Safety</b></p> <p>To assess the robustness of the arrangements for ensuring employees and the public are adequately protected from harm whilst also complying with Council policy and legislation.</p>	No	<b>Draft report being prepared.</b>
T (CT)	<p><b>ICT Management and Delivery</b></p>	No	<p>This work has been deferred pending completion of the ICT risk assessment (see below) that will identify the most appropriate area of audit focus.</p> <p><b>ICT Risk Assessment Work completed (see below). Areas of audit focus included in the 2021/22 Audit Plan – see 'All Outcomes'.</b></p>
T (CT)	<p><b>New: ICT Risk Assessment</b></p> <p>To identify the key risks being faced by the Council in respect of IT that will contribute towards making an informed decision on the Council's IT environment, supporting the preparation of an IT roadmap for improvement and identifying the areas of key risk to be managed going forward.</p>	No	<p><b>Completed August 2021.</b></p> <p><b>Used to inform ICT audit work detailed in the 21/22 Audit Plan.</b></p>

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
<p><b>Implementing Action Plans</b> - To check that actions agreed have been effectively implemented and have been embedded into the day to day operation of the service.</p>			
None			
<b>Managing Service Delivery Risks</b>			
<p><b>Pride and Joy</b></p> <p>By 2050 Southenders are fiercely proud of and go out of their way to champion what our city has to offer.</p>			
N&E (NH)	<p><b>National Productivity Investment Fund</b></p> <p>To certify, in all significant respects, that the conditions attached to the grant have been complied with.</p>	Yes	Completed September 2020.
<p><b>Implementing Action Plans</b> - To check that actions agreed have been effectively implemented and have been embedded into the day to day operation of the service.</p>			
None			
<b>Safe and Well</b>			
<p>By 2050 people in Southend-on-Sea feel safe in all aspects of their lives and are well enough to live fulfilling lives</p>			
A&C (AA)	<p><b>Rough Sleepers</b></p> <p>To assess the adequacy of arrangements to ensure: effective support is given to vulnerable people in order to achieve the outcomes within the Housing, Homelessness and Rough Sleeping Strategy, while achieving value for money.</p> <p>This will include the opportunities arising from the lessons learnt through the covid-19 response.</p>	Yes	<p><b>Removed from the plan due to loss of a member of staff from the inhouse team.</b></p> <p><b>Now added to the 2021/22 Audit Plan Risk Watch List.</b></p>

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
C&PH (JOL)	<p><b>Children's Quality Assurance Framework (QAF)</b></p> <p>To assess the robustness of arrangements for ensuring that the QAF effectively monitors and evaluates the services delivered to children and to the standards that enable children and young people's welfare to be safeguarded and promoted and their needs met.</p> <p>This will include the arrangements to assess the risks posed by the changes to ways of working as a result of Covid-19.</p>	No	<p>Internal Audit continue to provide insight, challenge, and feedback (including the use of risk to support decision making) as the service develops its more focused approach to the Quality Assurance Framework.</p> <p><b>Work completed March 2021.</b></p> <p><b>Update:</b></p> <p><b>At the request of the Executive Director for Children's Services and Public Health Internal Audit now provides support and challenge at the newly formed Children's Services Quality Assurance Steering Group. Please see 21/22 Audit Plan.</b></p>
A&C	<p><b>New: Deprivation of Liberties Safeguards</b></p> <p>To assess the robustness of arrangements which ensure when a person is deprived of liberty, it is necessary and in their best interests, whilst complying with the requirements of The Mental Capacity Act (2005), and specifically the Deprivation of Liberty Safeguards Code of Practice (2009).</p>	No	<p><b>Completed August 2021.</b></p>
A&C (SB)	<p><b>Essex Partnership University Trust (EPUT) Section 75 Agreement</b></p> <p>To assess the robustness of arrangements to ensure the delivery of the outcomes required from the Section 75 agreement are realised</p>	Yes	<p><b>A service led review is ongoing to determine the Council's requirements for Mental Health provision going forward. The scope of this review overlaps with our original planned work.</b></p> <p><b>Internal Audit are keeping in touch with the service as this review develops and will look to provide support and challenge as new or strengthened arrangements are identified and developed. This will be included in the 2021/22 Risk Watch List and added back into the Audit Plan once the timing and scope of the required works are known.</b></p>

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
G&H (GH)	<p><b>Disabled Facilities Grant</b></p> <p>To certify that, in all significant respects, the conditions attached to the grant have been complied with.</p>	Yes	Completed October 2020.
C&PH (JOL)	<p><b>Troubled Families</b></p> <p>To certify that, in all significant respects, the conditions attached to the grant have been complied with.</p> <p><i>Planned throughout the year to align with the Payment by Results claim windows set by the Ministry of Housing, Communities and Local Government.</i></p>	Yes	<p>Completed claim periods:</p> <ul style="list-style-type: none"> <li>• April to end of June 2020</li> <li>• July to end of September 2020</li> <li>• October to end of December 2020</li> <li>• January to March 2021</li> </ul> <p>Completed March 2021.</p>
C&PH (KR & AG)	<p><b>Covid-19 Local Outbreak Control Plan - Governance Arrangements</b></p> <p>To assess the robustness of arrangements which ensure Southend's Local Outbreak Plan fulfils its objective of protecting the health of the population of Southend.</p> <p>The work will also include the processes being developed for ensuring the spend on the ring fenced Test and Trace Support Grant is in line with the terms and conditions set by the Department of Health and Social Care.</p>	Yes	<p><b>Completed May 2021.</b></p> <p>(The work required in relation to the Department of Health's Test and Trace Support Grant has been added to the 2021/22 Audit Plan as the completed certification for this was required and delivered by May 2021).</p>

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
A&C (SB)	<b>Adults Social Care Recovery from Covid-19</b> To assess the robustness of measures to monitor and review the temporary changes to normal day to day processes /arrangements which aimed to ensure the care and support of vulnerable residents was prioritised e.g. postponement of financial assessments for residents receiving care, relaxation in approval arrangements for changes to care packages.	Yes	Draft report being prepared.
T&AC (SP SB)	<b>Covid-19 Volunteering</b> To assess the robustness of arrangements which ensured sufficient safeguarding measures were considered when enrolling volunteers to provide essential support to vulnerable residents	Yes	Work deferred to the 2021/22 Audit Plan due to the focus of the service area on delivering and improving the arrangements.
N&E (CR)	<b>New: Covid-19 Surge enforcement and compliance funding 2020</b>	Yes	Completed May 2021.
<b>Implementing Action Plans</b> -To check that actions agreed have been effectively implemented and have been embedded into the day to day operation of the service.			
A&C (SB)	<b>Direct Payment Support Service Contract Management</b>	Yes	Draft report being discussed with the service.
<b>Active and Involved</b> By 2050 we have a thriving, active and involved community that feel invested in our city			
<b>Implementing Action Plans</b> - To check that actions agreed have been effectively implemented and have been embedded into the day to day operation of the service.			
None			

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
<b>Opportunity and Prosperity</b> By 2050 Southend-on-Sea is a successful city and we share our prosperity amongst all of our people			
G&H (EC)	<b>Better Queensway Project Assurance</b> To assess the robustness of the Council's project management arrangements to deliver the required outcomes and benefits for the citizens of Southend.	No	Completed December 2020.
T (SP)	<b>Hayes Contract Management</b> To assess whether there are robust arrangements in place to ensure that the contract is delivering the planned outcomes and / or benefits in compliance with the specified performance and quality standards, at the correct cost.	Yes	Contract management resource secured. Still assessing the priorities for using this resource in the most effective way to support the Council in terms of the impact of Covid-19 has had on the delivery of services by contractors / providers. Update April 2021: In light of the potential impact of Brexit and Covid-19, potential refocussing of audit resource being discussed with the Corporate Procurement team around the robustness of exit strategies for the Council's critical contracts. <b>Update September 2021:</b> <b>Work deferred to 21/22 Audit Plan please see 'Critical Contracts Exit strategies'</b> <b>Hayes Contract Management audit also included in 21/22 Audit Plan.</b>
G&H (EC & RP)	<b>Adult Community College Debt Collection</b> To assess the robustness of arrangements to collect debt fully and in a timely manner.	Yes	Draft report being prepared.
G&H (EC & RP)	<b>Adult Community College Payroll</b> To assess the robustness of arrangements to accurately and properly pay non-salaried college staff.	Yes	Draft report being discussed with the service.

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
F&R (JC)	<p><b>New: Department for Business, Energy and Industrial Strategy (BEIS):</b></p> <p>To undertake post-payment assurance work to ensure business support grant funding for the following grants had been awarded in line with the guidance provided by BEIS:</p> <ul style="list-style-type: none"> <li>• Small Business Grant Fund</li> <li>• Retail, Hospitality and Leisure Grant Fund</li> <li>• Local Authority Discretionary Grant Fund</li> </ul>	Yes	Work in progress.
<p><b>Implementing Action Plans</b> - To check that actions agreed have been effectively implemented and have been embedded into the day to day operation of the service.</p>			
G&H (EC & RP)	<p><b>New: Better Queensway Project Assurance (follow up to completed piece of work above)</b></p>	No	Completed July 2021.
<p><b>Connected and Smart</b></p> <p>By 2050 people can easily get in, out and around our borough and we have a world class digital infrastructure</p>			
N&E (CR)	<p><b>Energy Projects Status Assurance (was entitled Climate Change)</b></p> <p>To provide an independent perspective as to the current status of the Council's portfolio of Energy Projects and provide advice in relation to the projects' future governance arrangements.</p>	No	Draft report provided to the service area and further support provided in delivering the response.
N&E (NH)	<p><b>Transport Joint Venture</b></p> <p>Original Focus of the work</p> <p>To assess the robustness of the governance arrangements which ensure the effective delivery of the joint venture (JV) arrangement.</p>	Yes	<p>An assessment of the JV risk profile during the planning phase of the work identified risks at a more operational level for the core services transferred to Vecteo, given that these core services need to meet the needs of some of Southend's vulnerable residents.</p> <p><b>Draft report being discussed with the service.</b></p>



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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
	<p><b>Updated Focus of the work</b></p> <p>To assess the robustness of the Council's planned arrangements, as they are developed, for ensuring core transport service requirements transferred to the JV (Vecteo) from 1 March 2020, will and / or are delivering:</p> <ul style="list-style-type: none"> <li>• an efficient, reliable, punctual &amp; safe service that meets the needs and delivers the required outcomes for the vulnerable adults and children relying on the service; and</li> <li>• at the right contractual price.</li> </ul>		<p><b>(The original planned focus for the audit work is included in the 2021/22 Audit Plan).</b></p>
N&E (SH)	<p><b>Parking Enforcement Income Collection</b></p> <p>To assess the robustness of arrangements for the proper and timely collection of Penalty Charge Notice (PCN) income in line with the Traffic Management Act 2004.</p>	Yes	<p><b>Draft report being prepared.</b></p>
N&E (NH)	<p><b>Local Transport Capital Block Funding - Highways Maintenance</b></p> <p>To certify that, in all significant respects, the conditions attached to the grant have been complied with.</p>	Yes	<p>Completed September 2020.</p>
N&E (NH)	<p><b>Pothole Action Fund</b></p> <p>To certify that, in all significant respects, the conditions attached to the grant have been complied with.</p>	Yes	<p>Completed September 2020.</p>

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
N&E (AW)	<p><b>Travel Demand Management Grant (awarded 29-7-20)</b></p> <p>To certify that, in all significant respects, the conditions attached to the grant have been complied with.</p>	Yes	Completed January 2021.
N&E (AW)	<p><b>Additional Dedicated Home to School and College Transport Grant (awarded 11-8-20)</b></p> <p>To certify that, in all significant respects, the conditions attached to the grant have been complied with.</p>	Yes	<p><b>Autumn 2020 and Spring term 2021 Completed April 2021.</b></p> <p><b>(Summer term 2021 see 2021/22 Audit Plan).</b></p>
N&E (AW)	<p><b>New: Emergency Active Travel Grant (awarded 29/06/2020)</b></p> <p>To certify that, in all significant respects, the conditions attached to the grant have been complied with.</p>	Yes	Completed March 2021.
<p><b>Implementing Action Plans</b> - To check that actions agreed have been effectively implemented and have been embedded into the day to day operation of the service.</p>			
No work required			

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
<b>Key Financial Systems</b>			
<b>All Outcomes</b>			
All	<p><b>Accounts Payable – Batch Input Files (BIF)</b></p> <p>To assess the robustness of arrangements to ensure that these payment files are accurate and secure.</p>	Yes	<p>Work postponed and now being reassessed as part of the ongoing review of the Council’s risk profile given the impact of Covid-19.</p> <p>Update April 2021:</p> <p>The work will be reassessed for inclusion in the 2021/22 Audit Plan.</p> <p><b>Update – included on the 2021/22 Audit Plan Risk Watch List.</b></p>
<b>Implementing Action Plans</b>			
All	<p><b>Payroll (2019/20)</b></p> <p>To assess whether the actions agreed in the original audit report have been implemented and are now effectively embedded into the day-to-day operation of the service.</p>	Yes	<p>Completed January 2021.</p>
<b>Advice and Support</b>			
<b>All Outcomes</b>			
All	<p><b>Simple and Effective Governance</b></p> <p>Provide ongoing support and challenge of the governance arrangements being developed, implemented and embedded by the Transforming Together team.</p>	No	<p>Update April 2021:</p> <p>Members of the Internal Audit team attend and input to the Transforming Together work programme, focussing on embedding the effectiveness of risk management arrangements and the role and effectiveness of the Governance Boards.</p> <p>This is now being updated to the Future Ways of Working programme to which internal audit will continue to contribute, with the Head of Internal Audit asked to lead on the ‘Decision Making’ workstream.</p> <p><b>Work completed for 20/21.</b></p> <p><b>See work in 2021/22 Audit Plan under ‘Managing the Business’ and Future Ways of Working.</b></p>

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
All	<p><b>Getting to Know Your Business</b> Provide ongoing support and challenge of the arrangements being developed and implemented to challenge Council services about what they do, why they do it and how they do it, to ensure that the Council is delivering the right things effectively and financially sustainable.</p>	No	<p>Work in Progress.</p> <p>Update April 2021: Internal Audit have been providing support and challenge to the Adult Social Care Finance and Performance work group as they develop the arrangements for managing the activity, financial and performance data within LAS, ContrOCC and Business World systems.</p> <p>Next steps for the group include developing:</p> <ul style="list-style-type: none"> <li>• a report summarising the work done to date; and</li> <li>• an action plan detailing the work and resource required to address any further areas outstanding.</li> </ul> <p><b>Work completed for 20/21.</b></p> <p><b>See work in 2021/22 Audit Plan under Advice and Support:</b></p> <ul style="list-style-type: none"> <li>• <b>Financial Sustainability (linked to Future Ways of Working and Getting to Know your Business Initiatives)</b></li> <li>• <b>Reconciling Adult Social Care Finance Project.</b></li> </ul>
G&H (EC)	<p><b>New: Better Queensway Highways Scheme</b> In relation to the specific concerns raised by councillors regarding the highways scheme for the Queensway development and the options for the underpass and / or roundabout; to determine whether:</p> <ul style="list-style-type: none"> <li>• proper and transparent processes were followed regarding the appointment of the preferred bidder for the 50/50 joint venture</li> <li>• the arrangements for sharing and / or reporting on the Queensway highways scheme were sufficiently transparent to support an informed decision-making process by members</li> </ul>	N/A	<p><b>Completed August 2021.</b></p> <p><b>See Audit Committee on 22 September 2021 for reporting of the results from this work.</b></p>

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
	<ul style="list-style-type: none"> <li>the Council has lessons to learn regarding arrangements that would improve and give clarity to decision making processes, given the significance of other projects the Council is involved with.</li> </ul>		
<b>Pride and Joy</b>  By 2050 Southenders are fiercely proud of, and go out of their way, to champion what our city has to offer.			
N&E (IK)	<b>Waste Collection and Street Cleansing</b> Provide support and challenge to the project team during the options appraisal process of the procurement arrangements that are currently underway for new waste collection and street cleansing operations.	No	Update April 2021:  The Corporate Procurement team are supporting the service in assessing options for the service going forward.  Work no longer required.
<b>Safe and Well</b>  By 2050 people in Southend-on-Sea feel safe in all aspects of their lives and are well enough to live fulfilling lives			
C&PH and A&C	<b>Liquid Logic / ContrOCC:</b> Provide support and challenge as new change request arrangements for these systems are being considered.	Yes	Update April 2021:  Internal audit has provided advice and challenge to the service area while they develop new processes for adding new organisations onto the LCS and ContrOCC systems.  <b>Work completed for 20/21.</b>  <b>See 2021/22 Audit Plan work under Advice and Support 'Liquid Logic / ContrOCC'.</b>
F&R/ and T	<b>Fire Safety</b> To provide support and challenge to the working group to ensure that fire safety arrangements are appropriate and effectively managed to make buildings safe and feel safe, now and in the future.	Yes	<b>Work deferred for 20/21.</b>  <b>See 2021/22 Audit Plan Risk Watch List 'Building Safety Bill'.</b>

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
C&PH (JOL)	<p><b>In House Foster Carers</b></p> <p>To provide support and challenge to the service as they develop governance and reporting arrangements to support the delivery of the improvement action plan.</p>	No	<p>Completed January 2021.</p> <p>Internal audit has facilitated discussions between the council's Data and Foster Care teams to develop insightful performance management information which will support monitoring the delivery of the outcomes detailed in the service's improvement plan.</p>
A&C (SB)	<p><b>New: Disabled Facilities Grant – Policy Work</b></p> <p>To provide support and challenge to the service as they develop the Council's policy for awarding grants.</p>	Yes	Completed March 2021.
<p><b>Active and Involved</b></p> <p>By 2050 we have a thriving, active and involved community that feel invested in our city</p>			
A&C (SD)	<p><b>Fusion Contract Management</b></p> <p><b>(Approach altered to better meet needs of the service)</b></p>	Yes	<p>Internal Audit continue to provide support and challenge as the service navigates post Covid-19 arrangements with the contractor.</p> <p><b>Work Completed.</b></p>
<p><b>Opportunity and Prosperity</b></p> <p>By 2050 Southend-on-Sea is a successful city and we share our prosperity amongst all of our people</p>			
G&H (EC)	<p><b>Town Centre Engineroom Working Group:</b></p> <p>To provide support and advice for establishing proportionate governance arrangements that appropriately support the Group in shaping and driving forward the town centre.</p>	Yes	Work completed for 2020/21.
G&H (EC)	<p><b>Adult Community College</b></p> <p>To provide support and challenge on the robustness of the updated Financial Regulation document ahead of delivery to the governing body.</p>		Work completed for 2020/21.

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Dept & (Lead)	Service Activity	Fraud risk	Status at 8 October 2021
G&H (EC)	<p><b>New: Adult Community College</b></p> <p>To provide support and challenge to the Board with responsibility for monitoring the transfer of the College's governance structure to a more flexible model in direct relationship with Council governance structures.</p>	No	<p>Work complete for 20/21.</p> <p>See Audit Plan 2021/22 Advice and Support 'Southend Adult Community College'.</p>
<p><b>Connected and Smart</b></p> <p>By 2050 people can easily get in, out and around our borough and we have a world class digital infrastructure</p>			
G&H (EC)	<p><b>Housing Infrastructure Grant (HIF)</b></p> <p>To provide advice and support as arrangements are developed which ensure Homes for England Housing Infrastructure Grant terms and conditions are properly met.</p>	Yes	<p>Work was rescheduled into the 2021/22 Audit Plan as the timing of the initial drawdown of grant was being amended.</p> <p>See 2021/22 Audit Plan Advice and Support 'Better Queensway Housing Infrastructure Grant'.</p>

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### Managing Service Delivery

Delivering the internal audit service involves:

- audit planning and resourcing
- managing Audit Plan delivery, which includes overseeing contractor work
- reporting to senior management and the Audit Committee.

Audit Activities	Original Audit Plan Job Resource allocation
Managing the Business	12%
Managing Service Delivery Risks	31%
Key Financial Systems	2%
Grant Claims	22%
Advice and Support	22%
Follow Ups	2%
Contingency	0%
Managing Delivery of the Audit Plan	9%
<b>Total</b>	<b>100%</b>

The days required to revisit and retest action plans from previous reports are included under each heading.



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<b>Analysis Over Executive Director Responsibilities</b>		
All	Cross Cutting	12%
F&R	Finance & Resources	5%
L&D	Legal & Democratic Services	0%
T	Transformation	7%
G&H	Growth & Housing	16%
N&E	Neighbourhoods & Environment	18%
C&PH	Children & Public Health	18%
A&C	Adults & Communities	15%
All	Contingency	0%
All	Managing Delivery of the Audit Plan	9%
	<b>Total</b>	<b>100%</b>

<b>Analysis over the 5 Southend 2050 Themes</b>		
1.	Pride & Joy	5%
2.	Active & Involved	3%
3.	Safe & Well	37%
4.	Opportunity & Prosperity	18%
5.	Connected & Smart	21%
6.	All	16%
	<b>Total</b>	<b>100%</b>

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Risk Watch List	
These are other potential audits that may be considered for inclusion in the Audit Plan during the year should resources permit	
G&H	Building a Safer Future
A&C	Data Quality – Adult Social Care
C&PH	In House Fostering Team
A&C	Liquid Logic Phase 2 – Introduction of Portals
G&H	Empty Homes Strategy
C&PH	SMART Southend
T	Corporate Establishment
F&R	Accounts Payable
N&E	Highways Improvement Plan
N&E	Community Safety
N&E	Cemeteries and Crematorium
F&R	Development of Corporate Approach to Procurement and Contract Management
A&C	Homecare Contract
C&PH	Public Health (including the impact of the new National Institute for Health Protection (NIHP) replacing Public Health England to be formalised and operating from April 2021)
C&PH	Children’s Services Financial Recovery Plan
C&PH	Children Missing from Education
C&PH	Victory Park Academy
F&R	Asset Management of the Corporate Estate
C&PH	Adherence to Terms and Conditions of the Early Years Grant Funding
A&C	Better Care Fund Section 75 Agreement
A&C	Deprivation of Liberty Safeguards (DoLS)
PH	Outcome Realisation of a Commissioned Service – deleted from 2019/20 Audit Plan
F&R	Income Management System – work stalled from the 2019/20 Audit Plan

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N&E	Neighbourhoods and Environment Performance Board – deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19
A&C	Adult Social Care Service Delivery - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19
N&E	Private Sector Housing - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19. In addition the service is reviewing delivery processes and arrangements
A&C	Southend Care - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19
G&H	Management of the South Essex Homes Partnership Agreement - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19. In addition the service area is establishing ways to improve performance management and benchmarking arrangements
A&C	Commissioning of a New Service - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19. In addition the service area is establishing ways to improve performance management and benchmarking arrangements
N&E	Environmental Health - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19.
C&PH A&C	Follow ups deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19: Data Quality, Adult Social Care Financial Assessments, Homelessness, Social Care Payments to Individuals and Providers & Social Care Debt Collection
G&H	Housing Pipeline - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19
N&E	Order, Delivery and Payment of Highways Works - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19
F&R	Treasury Management - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19
A&C	Community Grants - deleted from 20/21 Audit Plan after review of resources and risk profile resulting from impact of Covid-19