

Appendix 1: Assurance Summary 2021/22

| Audit Plan Areas | Level of Assurance | | | | |
|--|---|----------------------|---|---------|--|
| | High | Satisfactory | Partial | Minimal | No Opinion |
| Managing Service Delivery Risks | <ul style="list-style-type: none"> Covid 19 Adult Social Care Recovery 20-46 | | <ul style="list-style-type: none"> Adult Social Care Savings and Recovery Programme 21-19 Management of SEH Partnership Agreement 21-17 Better Queensway Workstreams 21-44 | | <ul style="list-style-type: none"> Highways Capitalised Maintenance Programme 21-11 – Internal Audit Memo |
| Key Financial Systems (No work 20/21) | <ul style="list-style-type: none"> Income Receipting & Banking 21-19 | General Ledger 21-18 | | | |
| Audits Revisited | | | <ul style="list-style-type: none"> IT Disaster Recovery Follow-up 21-31 Adult Social Care Financial Assessments Follow-up 21-33 | | <ul style="list-style-type: none"> Contract Management 21-39 |

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| | High | Satisfactory | Partial | Minimal | No Opinion |
| Advice & Support | | | | | <ul style="list-style-type: none"> • Children's Quality Assurance Framework • Transport Joint Venture – Contract Management of Core Services • Procurement of joint venture partner for Passenger Transport Service |
| Schools Audit | <ul style="list-style-type: none"> • Barons Court School Governance & Financial Management 21-21 | | | | |

Appendix 1: Assurance Summary 2021/22

| Grant Claims | Level of Assurance | |
|--------------|--|--------------------|
| | Unqualified | With Qualification |
| | Disabled Facilities Grant 21-02 | |
| | Troubled Families 21-03 | |
| | Local Authority Test and Trace Service Support Payment Scheme 2020/21 (No: 31/5075) | |
| | Local Authority Community Testing Funding Grant 2020/21 (No: 31/5327) | |
| | Covid 19 Local Authority Enforcement and Compliance Grant (No: 31/5216) | |
| | Covid 19 Test and Trace Service Support Grant (No: 31/5385) | |
| | Local Transport Capital Block Funding – Highways Maintenance Challenge Fund | |
| | Local Transport Capital Block Funding – Pothole and Challenge Fund | |
| | Local Transport Capital Block Funding – Integrated Transport and Highway Maintenance | |
| | Travel Demand Management Grant | |

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|---------------------|--|---------------------------|
| | Unqualified | With Qualification |
| | Additional Dedicated Home to School and College Transport Grant – Spring and Summer terms 2021 – Summer term in progress | |

