

Local Code of Governance Assurance Framework 2024

A: Behaving with integrity	E: Developing capacity
B: Openness and stakeholder engagement	F: Managing risk and performance
C: Defining outcomes	G: Effective accountability
D: Determining interventions	

	PRINCIPLES						
Assurance obtained from...	A	B	C	D	E	F	G
Acceptable Use Policy	✓						
Adult Social Care Strategies		✓	✓	✓			
Annual Budget Setting			✓	✓		✓	
Annual Conversations			✓				
Annual Financial Statements and Accounts		✓					✓
Annual Governance Statement							✓
Annual Report		✓	✓				✓
Budget monitoring and financial planning / management				✓		✓	

	PRINCIPLES						
Assurance obtained from...	A	B	C	D	E	F	G
Business Continuity Planning and Testing				✓		✓	
Cabinet Forward Plan		✓					
Cabinet Report Writing Guide			✓	✓			
Cabinet Working Parties		✓	✓				
Capital Challenge Programme						✓	
Capital Programme				✓			
Code of Conduct - Employees	✓						
Code of Conduct - Members	✓						
Commissioning Strategy			✓				
Communications Strategy		✓					
Community Safety Partnership							
Complaints Handling Procedure	✓						✓
Constitution		✓		✓			✓
Contracts Procedure Rules	✓		✓	✓		✓	
Co-production Framework		✓	✓				
Corporate Contracts Register				✓			

	PRINCIPLES						
Assurance obtained from...	A	B	C	D	E	F	G
Corporate Performance: organisational health & corporate performance			✓	✓			
Corporate Plan		✓	✓				✓
Corporate Risk Register				✓		✓	
Council website		✓					
Councillor Induction and Development Programme	✓						
Councillor-Officer Relations Protocol	✓						
Counter Fraud & Investigation Annual Report	✓					✓	✓
Counter Fraud Bribery and Corruption Policy and Strategy	✓						
Counter Money Laundering Policy and Strategy	✓						
CQC Inspections							✓
Data Protection, Compliance – Cyber Security						✓	
Digital Governance Group				✓			
Digital Smart Strategy					✓		
Disability Confident Employer Scheme status	✓	✓					
Equality Analysis programme	✓		✓				
Equality, Diversity and Inclusion Improvement Plan	✓				✓		

	PRINCIPLES						
Assurance obtained from...	A	B	C	D	E	F	G
External Audit letter							✓
External audits, inspections, and reviews	✓	✓	✓	✓	✓	✓	✓
Facilities Management Strategy			✓		✓		
Financial Management Code Review (self-assessment of compliance with CIFPA code)	✓				✓	✓	
Financial Regulations	✓					✓	
Financial Sustainability Strategy			✓			✓	
Getting to Know Your Business programme				✓		✓	
Gifts and Hospitality Register	✓						
Grievance and Dignity at Work Policy	✓	✓					
Head of Internal Audit's Annual Opinion and Report							✓
Health and Wellbeing Panel		✓					
Information Governance Annual Report		✓					
Information Management Group						✓	
Information Management Strategy	✓	✓		✓			
Internal and external audit plans							✓
Investment Board				✓		✓	

	PRINCIPLES						
Assurance obtained from...	A	B	C	D	E	F	G
LGA Corporate Peer Challenge or Review							✓
Local Resilience Partnership				✓			
Medium Term Financial Strategy			✓	✓	✓		✓
Our Democracy site – enabling public access to meetings and minutes		✓					
Organisational vision	✓	✓	✓				
Partnership vision and plan and vision		✓	✓				
Pay Policy					✓		
People Vision					✓		
Corporate Finance and Performance Board			✓	✓			
Policy and Strategy Development Guide	✓						
Policy Register					✓		
Population Health Needs Assessment				✓			
Procurement and Contract Management Strategy 2023-26				✓			
Procurement Dashboard							✓
Procurement Plan - 5 year				✓			
Procurement Plan (Annual)				✓			

	PRINCIPLES						
Assurance obtained from...	A	B	C	D	E	F	G
Programme and Project Management							✓
Register of Interests	✓						
Resident Perception Survey		✓					
Risk Appetite Statement						✓	
Risk Management Policy and Guidance						✓	
Risk Registers and Assurance Maps						✓	
Scheme of Delegations							✓
Service Delivery Plans			✓		✓		
Shareholder Board			✓				
Social Value Policy			✓				
Strategy, Policy & Legislation Board				✓			
Tackling Poverty Strategy		✓	✓	✓			
The Local Plan			✓				
Third Party challenge and inspection							✓
Third Party Governance							✓
Transformation Board				✓			
Transformation Programme			✓	✓	✓	✓	

	PRINCIPLES						
Assurance obtained from...	A	B	C	D	E	F	G
Values & Behaviours Framework	✓				✓		
Values & Behaviours Framework	✓	✓			✓		
Whistleblowing Policy	✓						
Work Smart hybrid framework & Hub					✓		